



#21

15.39/5752

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                                |   |                      |
|--------------------------------|---|----------------------|
| In re the Application of:      | ) | Group Art Unit: 2812 |
| MATSUMOTO et al.               | ) |                      |
| Serial No. 09/818,743          | ) | Examiner: unknown    |
| Filed: March 27, 2001          | ) |                      |
| For: SEMICONDUCTOR DEVICES AND | ) |                      |
| METHODS FOR MANUFACTURING      | ) |                      |
| THE SAME                       | ) |                      |

## CORRECTION OF FILING RECEIPT

- Assistant Commissioner for Patents  
Washington, D. C. 20231

Dear Sir:

Please amend the filing receipt as indicated in red on the attached copy so that the Attorney Docket No. is 15.39/5752. It appears that the docket number was not entered by the Patent Office. If additional fees are required related to this paper, please charge them to deposit account 50-0585. A duplicate copy of this paper is enclosed.

Respectfully submitted,

*Alan S. Raynes*  
Alan S. Raynes  
Reg. No. 39,809

Dated: July 9, 2001

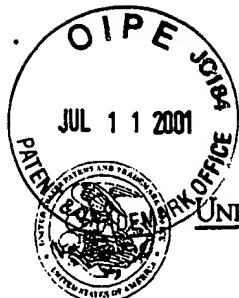
KONRAD RAYNES & VICTOR LLP  
315 South Beverly Drive, Suite 210  
Beverly Hills, CA 90212

Customer No. 24033

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on July 9, 2001.

*Alan S. Raynes*  
Alan S. Raynes

July 9, 2001  
Date



## UNITED STATES PATENT AND TRADEMARK OFFICE

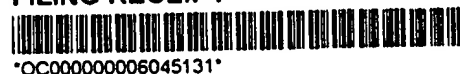
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/818,743         | 03/27/2001  | 2812         | 728           | 15.39/5752      | 5        | 21         | 3          |

CONFIRMATION NO. 4007

24033  
KONRAD RAYNES & VICTOR, LLP  
315 SOUTH BEVERLY DRIVE  
SUITE 210  
BEVERLY HILLS, CA 90212

## FILING RECEIPT



\*OC00000006045131\*

Date Mailed: 05/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kazumi Matsumoto, Residence Not Provided;  
Yukio Morozumi, Residence Not Provided;  
Michio Asahina, Residence Not Provided;

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2000-086607 03/27/2000

If Required, Foreign Filing License Granted 05/04/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Semiconductor devices and methods for manufacturing the same



#3 Sector  
15.39/5752

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                                |   |                      |
|--------------------------------|---|----------------------|
| In re the Application of:      | ) | Group Art Unit: 2812 |
| MATSUMOTO et al.               | ) |                      |
| Serial No. 09/818,743          | ) | Examiner: unknown    |
| Filed: March 27, 2001          | ) |                      |
| For: SEMICONDUCTOR DEVICES AND | ) |                      |
| METHODS FOR MANUFACTURING      | ) |                      |
| THE SAME                       | ) |                      |

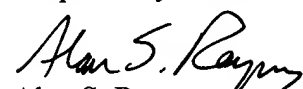
TRANSMITTAL OF MISSING PARTS

Assistant Commissioner for Patents  
Washington, D. C. 20231

Dear Sir:

In response to the enclosed Notice to File Missing Parts in connection with the above application, the response being due by Monday, July 9, 2001, applicants are transmitting the Declaration for the above-identified application, together with a Power of Attorney, and a check in the amount of \$130.00. If, for any reason, additional fees are required, please charge them to deposit account 50-0585. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

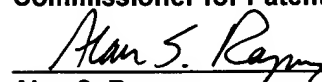
  
Alan S. Raynes  
Reg. No. 39,809

Dated: July 9, 2001

KONRAD RAYNES & VICTOR LLP  
315 South Beverly Drive, Suite 210  
Beverly Hills, CA 90212

Customer No. 24033

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on July 9, 2001.

  
Alan S. Raynes

July 9, 2001  
Date



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/818,743         | 03/27/2001          | Kazumi Matsumoto      |                        |

24033  
KONRAD RAYNES & VICTOR, LLP  
315 SOUTH BEVERLY DRIVE  
SUITE 210  
BEVERLY HILLS, CA 90212

CONFIRMATION NO. 4007

## FORMALITIES LETTER



\*OC000000006045132\*

Date Mailed: 05/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

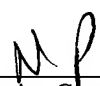
*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/13/2001 KZENDIE 00000045 09818743 130.00 OP  
01 FC:105